

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 06303/HG
INTERNATIONAL APPLICATION NO. PCT/JP2004/017031		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/577970
INTERNATIONAL FILING DATE 10 NOVEMBER 2004		PRIORITY DATE CLAIMED 10 NOVEMBER 2003
TITLE OF INVENTION POLYSACCHARIDE-CONTAINING COMPOSITION AND TEAR FILM STABILIZING OPHTHALMIC SOLUTION		
APPLICANT(S) FOR DO/EO/US Yukiko SUGIHARA; Akio KIMURA; Masatsugu NAKAMURA; Kazuhiro TANAHASHI; Miho ARAKI; and Takashi TANIGUCHI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: 		

Copy of: (i) NOTIFICATION PCT/IB/304; (ii) SECOND AND SUPP. NOTICE PCT/IB/308; (iii) NOTIFICATION PCT/IB/306 (dated 4/11/06); (iv) NOTIFICATION PCT/IB/306 (dated 4/12/06); (v) NOTIFICATION PCT/IB/311; (vi) WO 2005/046728 A1 (title page only), the publication of PCT/JP2004/017031; (vii) INT. SEARCH REPORT; (viii) REQUEST FOR PUBLICATION OF ASSIGNMENT INFO.; and (ix) 1 sheet drawing (Fig. 1)

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Date of Deposit: May 3, 2006

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Lori Valdes
Lori Valdes

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/577970		INTERNATIONAL APPLICATION NO. PCT/JP2004/017031		ATTORNEY'S DOCKET NUMBER 06303/HG	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00	
TOTAL OF 21, 22 and 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	34 - 20 =	14	x \$ 50	\$ 700.00	
Independent claims	7 - 3 =	4	x \$200	\$ 800.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,760.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 2,760.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$.00	
TOTAL NATIONAL FEE =				\$ 2,760.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 2,800.00	
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<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.437(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>CUSTOMER NO. 01933</p> <p>HERBERT GOODMAN Frishauf, Holtz, Goodman & Chick P.C. 220 5TH AVE FL 16 NEW YORK NY 10001-7708</p>					
				SIGNATURE	
				Herbert Goodman	
				NAME	
				17,081	
				REGISTRATION NUMBER	

10/577970


10/577970 03 MAY 2006

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Appl. No. : (U.S. National Phase Appln.
based on PCT/JP2004/017031)
Applicant : Yukiko SUGIHARA et al
Filed : Concomitantly herewith
For : POLYSACCHARIDE-CONTAINING
COMPOSITION AND TEAR FILM
STABILIZING OPHTHALMIC
SOLUTION
Docket No. : 06303/HG
Customer No.: 01933

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Lori Valdes

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


S I R :

It is requested that the following assignment information be published as part of the 18-month publication of the present application:

TORAY INDUSTRIES, INC.
1-1, Nihonbashi Muromachi 2-chome
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Telephone: (212) 319-4900
Facsimile: (212) 319-5101
HG/lpv

Respectfully submitted,


HERBERT GOODMAN
Reg. No. 17,081

10/577970
 1AP20R03CFCPTO 03 MAY 2006

PTO/SB/122 (10-00)

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	Filing Date	Concomitantly herewith
	First Named Inventor	Yukiko SUGIHARA et al
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	06303/HG

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☐ Assignee of record of the entire interest.
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ Attorney or Agent of record.

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Typed or Printed

Name Herbert Goodman, Reg. No. 17,081

Signature

Date

May 3, 2006

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 1 forms are submitted.

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